

HAZARDOUS WASTE ANNUAL REPORT and ASSESSMENT RETURN

For Report Year 2006

Due date per KRS 224.46-580 is January 1, 2007.

**Reports and Assessments must be received and date
stamped by the Hazardous Waste Branch
by March 1, 2007.**

Reports received after March 1st pay late fees.

NO STAPLES PLEASE

Mail the original signed reports to:

Kentucky Division of Waste Management
Hazardous Waste Branch
14 Reilly Road
Frankfort KY 40601

Contact Annual Report Coordinators Edith Greer ((502) 564-6716 x 352)
or Maria Wood ((502) 564-6716 x 237) for more information

email edith.greer@ky.gov or maria.wood@ky.gov

IMPORTANT CHANGES INSIDE COVER

IMPORTANT NOTICE OF CHANGES
THE 2006 HAZARDOUS WASTE ANNUAL REPORT
HAS BEEN REVISED.

The Environmental and Public Protection Cabinet will soon incorporate by reference the U.S. EPA Hazardous Waste Regulations. Some of the changes will be obvious:

FORM 1 IS NOW THREE (3) PAGES.
It is similar to the RCRA Subtitle C Site ID Form.

FORM 2 IS NOT NEEDED FOR WASTEWATER THAT
PASSES THROUGH A SEWER TO A POTW (Section 307B
of the Clean Water Act). [401 KAR 31:010, Section 4 (1)(a)].

IMPORTANT NOTICE OF CHANGES
THE 2006 HAZARDOUS WASTE ASSESSMENT RETURN
HAS BEEN REVISED.

IF THE TOTAL ASSESSMENT DUE IS UNDER \$50.00,
THE FEE IS WAIVED*.

*Submit the completed DEP-7070, but
Do not send in a check for an assessment under \$50.00.

If you have any questions call (502) 564-6716, or e-mail
edith.greer@ky.gov or maria.wood@ky.gov

Mail completed reports to:

Kentucky Division of Waste Management
Hazardous Waste Branch
14 Reilly Road
Frankfort KY 40601

EXPLANATION OF DUE DATE BY STATUTE

The Hazardous Waste Assessment Return shall be reported and paid to the Environmental and Public Protection Cabinet for the generation of hazardous waste on an annual basis on January 1 of each year per Kentucky Revised Statute 224.46-580. The Hazardous Waste Branch grants a “grace period” to receive the Assessment Returns and date stamp them at the Branch by March 1st. This does not mean that the postmark is March 1; it means the Return shall already be received and date stamped at the Branch by March 1st. **A 15% penalty and up to 61 days of interest will apply to Assessments received at the Branch on March 2nd** (This includes the period from January 1st until March 2nd. See page 18 for calculation instructions).

Division of Waste Management, Hazardous Waste Branch initiated the TEMPO data tracking system February 2004. When documents are received at the Branch, they are scanned into TEMPO on the receipt date. Any Hazardous Waste Assessment Return scanned into TEMPO after March 1st has penalties and interest late fees automatically computed by TEMPO. *Please mail early enough to avoid weekends or check processing delays that may result in late fees.*

Mail the original reports with original signatures. No extra copies need to be sent. However, keep a copy for your files for three (3) years.

NO STAPLES, PLEASE. These reports are scanned into the TEMPO database immediately after being date stamped.

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There are forms for two reports in this booklet. They are the

HAZARDOUS WASTE ANNUAL REPORT
and the
HAZARDOUS WASTE ASSESSMENT RETURN

The completed forms with original signatures must be received by
March 1, 2007

at
Kentucky Division of Waste Management
Hazardous Waste Branch
14 Reilly Road
Frankfort KY 40601

GENERAL INSTRUCTIONS FOR BOTH REPORTS

Where can I get help?

Write to the above address, or call (502) 564-6716, ext. 352 or 237 and ask for Edith Greer or Maria Wood, the Hazardous Waste Annual Report/Assessment Return Coordinators. Or e-mail: Edith.Greer@ky.gov or Maria.Wood@ky.gov.

(Include **Annual Report/Assessment Return** in the subject line of your e-mail as those messages without proper identification will be deleted to reduce the risk of catching a computer virus from an unknown source.)

Any questions regarding generator registration and generator status should be directed to your registration coordinator at (502) 564-6716, ext. 352 or 237. Or e-mail: Edith.Greer@ky.gov or Maria.Wood@ky.gov.

Who must file these reports? 401 KAR 32:040, Section 2 / KRS 224.46-580

- ⊘ Any site registered as either a Large or a Small Quantity Generator for *any* part of the year 2006 (including One-Time-Only Generators); and/or
- ⊘ Any site that treated, stored, or disposed of hazardous wastes on site during 2006.

Can I get an extension to the March 1 filing deadline?

Yes, up to an additional thirty days. Submit a written request before the March 1st deadline identifying the site name and the EPA ID number. **NOTE:** Late fees will still apply to the Assessment Return. See instructions on page 18 (line 8 and line 9) for guidance in calculating late fees.

What additional paperwork do I need to complete the reports?

- ⊘ Certificate of Registration for Hazardous Waste Management Activity
- ⊘ Annual Report and Assessment Return from Last Year
- ⊘ Manifest Records
- ⊘ Laboratory Analysis Reports of Waste
- ⊘ Operating Log Identifying Waste Generated/Accumulated During the Year
- ⊘ Copies of Permits for Hazardous Waste Management

How do I file a claim for confidentiality?

Follow procedures outlined in 400 KAR 1:060.

INSTRUCTIONS FOR THE ANNUAL REPORT

Which forms must I submit?

- Form 1** Any site required to file an Annual Report must submit this form, even if zero pounds of hazardous waste were generated in 2006.
- Form 2** *Each* hazardous waste stream generated, managed, and/or shipped off site in 2006 must be represented on a Form 2. A Form 2 is not necessary for a hazardous waste stream registered to your site but not generated and/or shipped in 2006. If waste is shipped off site, a Form 5 must also be filed.
- Form 3** Any site receiving hazardous waste from off-site and managing it on site must complete Form 3. A Form 6 must also be filed.
- Form 4** Any site that treats, recycles, or disposes hazardous waste on site must complete a separate Form 4 for each unique process system.
- Form 5** Any site that shipped hazardous waste off site during 2006.
- Form 6** Any site that received hazardous waste from off site in 2006.

IMPORTANT: All pages in the Annual Report must be sequentially numbered, from the first page on Form 1 to the last page submitted (i.e. Page 1 of 10, 2 of 10, 3 of 10, etc.)

A copy of the Annual Report must be sent to the county judge/executive of the county, or chief executive officer of an urban county government, within the county that the waste was generated in, and also to the county judge/executive of the county or chief executive officer of an urban county government within the county where the waste was shipped to per KAR 32:040 Section 2(3). Address listings are in the Appendix.

Electronic Filing is available!!!

Electronic filing is available at no cost; however, it is limited to large quantity generators, treatment facilities, storage facilities, and disposal facilities only. To download the EasiTrak Annual Report, go to www.arminc.net. Be sure to select the Kentucky version.

A printed, paper copy of the Annual Report must be mailed to the Division of Waste Management in addition to electronic filing of the report. Mail to the address on page 1 and remember to include an original signature on Form 1. The Assessment Return is not on EasiTrak. See page 20 of this Booklet.

For answers about electronic filing, call Amy Earlywine at (502) 564-6716 or e-mail: amy.earlywine@ky.gov.

FORM 1 – Identification and Certification

General Information

Enter the EPA ID Number **and** the State Agency Interest Number (AI#). Enter the site name exactly as it appears on the Certificate of Registration. Enter the physical address of the facility in the Location Address (no PO Box).

North American Industry Classification System Code (NAICS)

Provide (up to four of) the North American Industry Classification System (NAICS) code(s) that best describe the primary products or services provided by your site. The NAICS codes replace the SIC codes from years past. Go to the web at www.naics.com for assistance in locating your site's code(s). Check to see if the NAICS code you are using is current as of 2002. Many registrants are using outdated 1997 NAICS codes.

Hazardous Waste Activity

Check the box that indicates your site's registered generator status as of December 31, 2006*.

* If your site was registered as either a Large- or Small Quantity Generator **at some time during the 2006 calendar year**, but changed status to a Conditionally Exempt Small Quantity Generator by December 31, 2006, supply the **previous registration status in the white space next to the category you check**. The Annual Report and Assessment Return must be submitted for the year (or any part of the year) during which you were registered as a Large- or Small Quantity Generator even if you are now registered as Conditionally Exempt.

For sites that generated and/or shipped **zero pounds** of hazardous waste during the year, provide an explanation. If more room is needed, attach an additional page with comments. **NOTE:** The Annual Report and Assessment Return must be submitted even if zero pounds were generated during the time the facility was a Large- or Small Quantity Generator.

Certification Statement

This line must contain an original signature. More forms are returned for this deficiency than any other. See 401 KAR 38:070, Section 7 for an explanation of legal requirements for authorized signatures.

FORM 2 – Waste Generation and Management

If waste is shipped off site, form 5 must also be filed.

Submit a Form 2 for **each** hazardous waste stream that was:

- ⊗ Generated on site and subsequently managed on site or shipped off site in 2006; or
- ⊗ Generated on site in 2006 but neither managed on site nor shipped off site until after 2006; or
- ⊗ Generated on site prior to 2006 but either managed on site or shipped off site in 2006; or
- ⊗ Imported from a foreign country and managed on site or shipped off site in 2006.

Duplicate the Form 2 as needed and complete a Form 2 for each separate hazardous waste stream. A Form 2 is not necessary when a waste stream registered to your site was not generated and/or shipped in the report year.

Note: Kentucky requires submittal of a Form 2 for all hazardous wastes produced by large and small quantity generators even if these wastes are not included in making a determination on generator status. (See 401 KAR 31:010, Section 5(3) and (4).) These include hazardous wastewaters and wastewater treatment sludges. The wastewater and sludges are separate waste streams and each requires a separate Form 2.

Waste Description

Please indicate whether waste is liquid or solid.

Line (1): Provide a concise description of the waste including the source and generic chemical name or primary hazardous constituents. Example: "Ignitable spent solvent from degreasing operation in tool production; mixture of mineral spirits and kerosene."

Line (2): Enter the exact waste description as it appears **on the manifest**.

For Lab packs – Use one Form 2 to identify each lab pack waste stream that contains the same waste codes and waste description. If waste codes or waste description vary, a separate Form 2 should be provided.

EPA Hazardous Waste Code(s)

Enter the four-character EPA hazardous waste code(s) that applies to the waste described above. These codes can be found in 401 KAR 31:030 and 31:040 and on your Certificate of Registration and manifest copies. If the waste stream was a lab pack, enter LABP along with a list of all EPA waste codes contained in the lab pack. If more room is needed, attach an additional page with comments.

State Hazardous Waste Code

Enter the four-character State hazardous waste code(s). In Kentucky, this is limited to nerve and blister agents only. These codes can be found in 401 KAR 31:040 Section 5. If the waste identified on this Form 2 is not a nerve or blister agent, leave this box blank.

Source Code

See Source Codes listed in Appendix A-1. Enter only one code. Important: For the Source Code "G25 – Hazardous Waste Management," a *Management Method code must also be provided*. The Management Method Codes can be found in Appendix A-5.

Form Code

See Form Codes listed in Appendix A-2 and A-3. Enter only one code.

Quantity Generated in Report Year

Enter the total quantity of this hazardous waste stream generated in 2006. Report in pounds. If your on site records do not identify the weight of the waste, you may use the Conversion Chart listed in Appendix A-4 or apply your knowledge of the weight of the waste to convert it to pounds.

Enter the value used to convert this waste to pounds

If you used a conversion factor to obtain the weight of your waste, identify the value used to convert the waste amount into pounds here.

On Site Management**Was any of this waste managed on site by treatment, recycling, disposal, or by discharge to a sewer or publicly owned treatment works (POTW)?**

If the answer to this question is Yes, complete the rest of this section and submit a Form 4 (Waste Treatment, Recycling, or Disposal System). If the answer to this question is No, complete the Waste Shipped Off Site section.

On site Process System 1 and On Site Process System 2

Two blocks are provided to identify two separate on site waste management systems for the waste described on this Form 2.

On Site Management Method Code

See Management Method Codes listed in Appendix A-5.

Quantity Treated, Recycled, Disposed

Enter the quantity of the hazardous waste stream that was treated, recycled, or disposed of on site using the listed Management Method Code. Report in pounds.

Example: A firm generated 100 tons of F002 solvent waste in 2006. Eighty (80) tons were recycled for reuse in a batch distillation processing system, generating 5 tons of still bottoms. The remaining 20 tons were burned in an industrial burner.

For On Site Process System 1, Management Method Code H020 for distillation would be entered with a quantity of 160,000 pounds (80 tons x 2000 pounds).

For On Site Process System 2, Management Method code H050 for energy recovery of liquids would be entered with a quantity of 40,000 pounds (20 tons x 2000 pounds).

Waste Shipped Off Site

If Yes, complete this form and submit a Form 5. Include hazardous waste that was received from off site and shipped off site, whether or not it was treated prior to shipment.

Space is provided to report shipments of the waste to two different off site facilities. If more space is needed, copy this form and complete only the Waste Shipped Off Site section. Number the page sequentially and identify it as a continuation of the Form 2.

Name of Receiving Facility/EPA Number of Receiving Facility

The name and EPA ID number of the receiving facility and the quantity of waste shipped off site to the receiving facility should correspond with information reported on Form 5.

Off Site Management Method Code

See Management Method Codes listed in Appendix A-5. Obtain this information from the receiving facility and/or from the manifest.

FORM 3 – Waste Received From Off Site

If you submit a Form 3, a corresponding Form 6 must also be filed.

You may report waste received from three different generators on each Form 3. A separate Waste Section must be filled out for each hazardous waste received from each off site generator. Identical hazardous waste from the same off site generator may be combined as long as the EPA Hazardous Waste Codes are the same, a single Form Code describes the composition, **and** all of the waste is managed in a single process system (i.e., same Management Method Code).

If your site received more than three hazardous wastes from off site generators during the report year, make additional copies of this form.

Waste Description

Please indicate whether waste is liquid or solid.

On line (1), provide a concise description of the waste including the source and generic chemical name or primary hazardous constituents. Example: "Ignitable spent solvent from degreasing operation in tool production; mixture of mineral spirits and kerosene."

On line (2), enter the exact waste description as it appears **on the manifest**.

For Lab packs – Use one Form 3 to identify each lab pack waste stream that contains the same waste codes and waste description. If waste codes or waste description vary, a separate Form 3 should be provided.

EPA Hazardous Waste Code(s)

Enter the four-character EPA hazardous waste code(s) that applies to the waste reported in Waste Description. These codes can be found in 401 KAR 31:030 and 31:040 and on copies of the manifest(s). If the waste stream was a lab pack, enter LABP along with a list of all EPA waste codes contained in the lab pack. Attach additional pages if necessary.

Name of Generator From Which This Waste Was Received

Identify the name of the generator that shipped this hazardous waste to your facility from the manifest form.

EPA ID Number of Generator From Which This Waste Was Received

Identify the 12-character EPA ID Number for the generator that shipped waste to your facility from the manifest form. For Conditionally Exempt small quantity generators without EPA ID#'s, use the state abbreviation followed by CESQG00000, (i.e., KYCESQG00000).

State Hazardous Waste Code

Follow instructions for this field from Form 2 instructions (page 4).

Quantity Received in Report Year

Enter the total quantity of this hazardous waste stream received in 2006. Report in pounds. If your on site records do not identify the weight of the waste, you may use the Conversion Chart listed in Appendix A-4 or apply your knowledge of the weight of the waste to convert it to pounds.

Enter the Value Used to Convert This Waste to Pounds

If you used a conversion factor to obtain the weight of your waste, identify the value used to convert the waste amount into pounds here.

Form Code

See Form Codes listed in Appendix A-2 and A-3. Enter only one code.

Management Method Code

See Management Method Codes listed in Appendix A-5.

FORM 4 – Waste Treatment, Disposal or Recycling System

Report **each** hazardous waste treatment, disposal, or recycling system used on site during 2006. A system is defined as one or more units acting together to perform a single operation on hazardous waste. A unit is a single piece of equipment – e.g., one tank, one distillation column or one surface impoundment – in which a hazardous waste is treated, disposed or recycled. Only one Form 4 is required when the same system – one that uses the same Management Method Code - is utilized in two different locations in the plant. Include all hazardous waste management units, whether or not they are subject to permitting requirements in 401 KAR Chapter 38. Do not report storage on this form.

A Form 4 must also be completed and returned if your company has definite plans to construct such a facility within the next year.

System Description

Provide a concise description of the system including the type of unit (s) and type of waste managed. For example: "Cement based stabilization of wastewater treatment sludges in tanks."
"Solvent recovery and chemical treatment in tanks."

Management Method Code

See Management Method Codes listed in Appendix A-5. This code must match the Management Method Code reported on all the corresponding Form 2's.

Regulatory Status of System

Mark only one box.

Operational Status

Mark only one box.

Quantity of Waste Entering System – Must report in pounds.

Total - Enter the total amount of all waste that entered the system during 2006. This includes non-hazardous, as well as hazardous, waste. This does not include non-waste materials such as catalysts, reagents, treatment chemicals, etc. Must report in pounds. Amounts may be estimated.

Hazardous - Enter the hazardous waste total. This figure will be **less than or equal to** the Total quantity listed above. This figure should match the quantity reported on the corresponding Form 2 in the On Site Management section.

Operational Capacity – Must report in pounds.

Total and Hazardous - These figures will be greater than or equal to the amounts listed in the previous field "Quantity of Waste Entering System."

Quantity of Liquid Effluent /Quantity of Liquid Recycled– Must report in pounds.

If liquid effluent is not hazardous, enter the quantity exiting the system on the Total line and enter 0 on the Hazardous line. If recycling, show the amount of liquid waste that was recycled **and kept on site** on both the Total and Hazardous lines.

Quantity of Solid/Sludge Residual – Must report in pounds.

Same instructions as for Liquid Effluent Quantity. If the solid/sludge residual is hazardous and is to be shipped off site, create a new Form 2 for the waste. If the sludge is qualified as an exclusion

from assessment, also fill out a Claim for Exclusion Form for the special waste and include with the Assessment Return.

Estimated Commercial Capacity

Enter the estimated percent of commercial availability for this process. Enter zero if the system is not commercially available.

FORM 5 – Summary of Waste Shipped Off Site

Copy this form if additional space is needed.

List All Receiving Facilities

Identify the EPA ID number and name for each facility that received hazardous waste from your site. Double check ID numbers for 12-characters and accuracy.

Total Number of Manifested Shipments

Count each manifest only once and report the total number of manifests used to ship your waste to each receiving facility.

Total Pounds Shipped

Amounts reported in this column should balance with figures totaled from the Waste Shipped Off Site section on Form 2.

List All Primary Transporters

Complete the name and EPA ID number for each primary transporter used to ship your hazardous waste off site. Do not list secondary transporters.

Container Types

Enter the two-letter container code from Item 12 on the manifest (e.g. DM, TT, DF...)

FORM 6 – Summary of Waste Shipped to Your Site

Report all hazardous waste your company received in 2006. Copy this form if additional space is needed.

Instructions are the same as for FORM 5 except:

Total Pounds Shipped

Amounts reported in this column should balance with figures totaled from the quantities reported on Form 3. Include the 12-digit EPA ID# for all generators. For conditionally exempt small quantity generators with no EPA ID#, use the state abbreviation followed by "CESQG00000". Example, KYCESQG00000.

MAIL TO: Division of Waste Management Hazardous Waste Branch 14 Reilly Road Frankfort, KY 40601	Commonwealth of Kentucky Department for Environmental Protection 2006 Hazardous Waste Annual Report FORM 1 – Identification and Certification Equivalent to EPA RCRA Subtitle C Site Identification Form	<i>For Official Use Only. Do not write in this space.</i>																				
1. Reason for Submittal	<input checked="" type="checkbox"/> As a component of the Hazardous Waste Annual Report																					
2. Site ID Numbers	A. EPA ID Number: KY <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	B. AGENCY INTEREST (AI) Number: <input type="text"/>																				
3. Site Name	Name: <input type="text"/>																					
4. Site Location Information	Street Address: <input type="text"/> City: <input type="text"/> State: KY Zip Code: <input type="text"/> County: <input type="text"/>																					
5. Land Type	Site Land Type: <input type="checkbox"/> Private <input type="checkbox"/> Federal <input type="checkbox"/> State <input type="checkbox"/> Municipal <input type="checkbox"/> County																					
6. North American Industry Classification System (NAICS) Code(s) for the Site (see page 3)	<table border="1" style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> A. <input type="text"/> C. <input type="text"/> </td> <td style="width: 50%; vertical-align: top;"> B. <input type="text"/> D. <input type="text"/> </td> </tr> </table>		A. <input type="text"/> C. <input type="text"/>	B. <input type="text"/> D. <input type="text"/>																		
A. <input type="text"/> C. <input type="text"/>	B. <input type="text"/> D. <input type="text"/>																					
7. Site Contact & Mailing Address	<table border="1" style="width: 100%;"> <tr> <td style="width: 33%;">First Name:</td> <td style="width: 10%;">MI:</td> <td style="width: 33%;">Last Name:</td> <td style="width: 24%;"></td> </tr> <tr> <td>Phone Number:</td> <td></td> <td>Phone Number Extension:</td> <td></td> </tr> <tr> <td colspan="4">E-Mail Address:</td> </tr> <tr> <td colspan="4">Street Address or P. O. Box:</td> </tr> <tr> <td>City:</td> <td>State:</td> <td>Country:</td> <td>Zip Code:</td> </tr> </table>		First Name:	MI:	Last Name:		Phone Number:		Phone Number Extension:		E-Mail Address:				Street Address or P. O. Box:				City:	State:	Country:	Zip Code:
First Name:	MI:	Last Name:																				
Phone Number:		Phone Number Extension:																				
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Street Address or P. O. Box:																						
City:	State:	Country:	Zip Code:																			
8. Site Operator Information	<table border="1" style="width: 100%;"> <tr> <td style="width: 65%;">Name of Site's Operator:</td> <td style="width: 35%;">Date Became Operator:</td> </tr> <tr> <td></td> <td><input type="text"/></td> </tr> <tr> <td colspan="2">Operator Type: <input type="checkbox"/> Private <input type="checkbox"/> Federal <input type="checkbox"/> State <input type="checkbox"/> Municipal <input type="checkbox"/> County</td> </tr> </table>		Name of Site's Operator:	Date Became Operator:		<input type="text"/>	Operator Type: <input type="checkbox"/> Private <input type="checkbox"/> Federal <input type="checkbox"/> State <input type="checkbox"/> Municipal <input type="checkbox"/> County															
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9. Site Legal Owner Information	<table border="1" style="width: 100%;"> <tr> <td style="width: 65%;">Name of Site's Legal Owner:</td> <td style="width: 35%;">Date Became Owner:</td> </tr> <tr> <td></td> <td><input type="text"/></td> </tr> <tr> <td colspan="2">Owner Type: <input type="checkbox"/> Private <input type="checkbox"/> Federal <input type="checkbox"/> State <input type="checkbox"/> Municipal <input type="checkbox"/> County</td> </tr> <tr> <td>Phone Number:</td> <td>Phone Number Extension:</td> </tr> <tr> <td colspan="2">Street Address or P. O. Box:</td> </tr> <tr> <td>City:</td> <td>State:</td> </tr> <tr> <td>Country:</td> <td>Zip Code:</td> </tr> </table>		Name of Site's Legal Owner:	Date Became Owner:		<input type="text"/>	Owner Type: <input type="checkbox"/> Private <input type="checkbox"/> Federal <input type="checkbox"/> State <input type="checkbox"/> Municipal <input type="checkbox"/> County		Phone Number:	Phone Number Extension:	Street Address or P. O. Box:		City:	State:	Country:	Zip Code:						
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Street Address or P. O. Box:																						
City:	State:																					
Country:	Zip Code:																					

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10. Type of Regulated Waste Activity

Mark "X" in the appropriate box(es) for all waste activities at this location.

A. Hazardous Waste Activities**1. Generator of Hazardous Waste**

Select Yes or No

Is this a one-time-only hazardous waste activity report?

☐ Yes ☐ No

Choose only one of the following three categories:

- ☐ a. **Large Quantity Generator:**
(over 2,200 pounds generated in any one calendar month, cannot be averaged)
- ☐ b. **Small Quantity Generator:**
(220-2,200 pounds generated in any one calendar month, cannot be averaged)
- ☐ c. **Conditionally Exempt Small Quantity Generator:**
(less than 220 pounds generated in any one calendar month, cannot be averaged)

☐ 2. **United States Importer of Hazardous Waste**☐ 3. **Mixed Waste (hazardous and radioactive) Generator**☐ 4. **Transporter of Hazardous Waste**☐ 5. **Treatment/Storage/Disposal Facility (at your site)**
(currently have or have applied for a Part B Permit)☐ 6. **Recycler of Hazardous Waste****7. Exempt Boiler and/or Industrial Furnace**

Mark "X" in all boxes that apply

- ☐ a. **Small Quantity On-Site Burner Exemption**
- ☐ b. **Smelting, Melting, and Refining Furnace Exemption**

☐ 8. **Underground Injection Well****B. Universal Waste Activities**

- ☐ 1. **Large Quantity Universal Waste Handler**
(over 11,000 pounds on-site storage)

Mark "X" in all boxes that apply

Generate Accumulate

- | | | |
|--|--------------------------|--------------------------|
| a. Batteries | <input type="checkbox"/> | <input type="checkbox"/> |
| b. Unused Pesticides | <input type="checkbox"/> | <input type="checkbox"/> |
| c. Thermostats | <input type="checkbox"/> | <input type="checkbox"/> |
| d. Spent Lamps
(includes fluorescent lamps) | <input type="checkbox"/> | <input type="checkbox"/> |

- ☐ 2. **Destination Facility for Universal Waste**

NOTE: A hazardous waste permit may be required for this activity

C. Used Oil Activities

Mark "X" in all boxes that apply

- ☐ 1. **Used Oil Transporter**
If this box is marked, also indicate type(s) of activity(ies):

- ☐ a. Transporter
- ☐ b. Transfer Facility

- ☐ 2. **Used Oil Processor and/or Re-refiner**
If this box is marked, also indicate type(s) of activity(ies):

- ☐ a. Processor
- ☐ b. Re-refiner

- ☐ 3. **Off-Specification Used Oil Burner**
If this box is marked, also indicate type(s) of activity(ies):

- ☐ a. Utility Boiler
- ☐ b. Industrial Boiler
- ☐ c. Industrial Furnace

- ☐ 4. **Used Oil Fuel Marketer**
If this box is marked, also indicate type(s) of activity(ies):

- ☐ a. Marketer Who Directs Shipment of Off-Specification Used Oil to Off-Specification Used Oil Burner
- ☐ b. Marketer Who First Claims the Used Oil Meets the Specifications

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11. Description of Hazardous Wastes

A. Waste Codes for Federally Regulated Hazardous Wastes. List the waste codes of the Federal hazardous wastes handled at your site. List them in the order they are presented in the regulations (i.e., D001, D003, F007, U112). Use an additional page if more spaces are needed.

[illegible]

B. Waste Codes for State-Regulated (i.e., non-Federal) Hazardous Wastes. (N001, N002, and N003. Nerve and blister agents as required by KRS 224.50-130). List the waste codes of the State-regulated hazardous wastes handled at your site. Leave blank or mark N/A if State Waste Codes are not applicable to your site.

12. Comments (Additional Information that will clarify any item in this report.)

[illegible]

12. Certification

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

A. Signature (Must be original):

B. Date Signed:

_____ / _____ / _____

C. Typed or Printed Signatory Name:

D. Official Title of Signatory:

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Commonwealth of Kentucky
2006 Hazardous Waste Annual Report
FORM 2 – Waste Generation and Management
 Equivalent to EPA Form GM

EPA ID Number _____ **Agency Interest Number** _____

Site Name (As shown on Registration) _____

Waste Description (See instructions.) Check one: ____ **Liquid** ____ **Solid**

(1) (General) _____

(2) (Item 11 of manifest) _____

EPA Hazardous Waste Code(s)

State Hazardous Waste Code (if applicable) _____	Source Code *(Specify other) *G _____ **H _____ **(For G25 only)	Form Code (Specify Other) W _____	Is this waste both hazardous and radioactive? <input type="checkbox"/> Yes <input type="checkbox"/> No
---	--	---	---

Quantity Generated in Report Year

_____ pounds

Enter the value used to convert this waste to pounds

(Leave blank if waste amount is already listed in pounds)

ON SITE MANAGEMENT

Was any of this waste managed on site by treatment, recycling, disposal, or by discharge to a sewer or publicly owned treatment works (POTW)?

____ Yes – Complete On-site Process System block(s) and Form 4 ____ No – Skip to WASTE SHIPPED OFF SITE

<p style="text-align: center;">On-site Process System 1</p> <p>On Site Management Method Code: H _____</p> <p>Quantity treated, recycled, disposed: (Circle one)</p> <p>_____ pounds</p>	<p style="text-align: center;">On-site Process System 2</p> <p>On Site Management Method Code: H _____</p> <p>Quantity treated, recycled, disposed:</p> <p>_____ pounds</p>
--	---

WASTE SHIPPED OFF SITE

Was any of this waste shipped off site in 2006 for treatment, disposal, or recycling?

____ Yes – Continue completing this form and Form 5. ____ No – Form is complete.

Site 1	Name of receiving facility: _____ EPA ID Number of receiving facility: _____	Off Site Management Method Code H _____	Total Quantity Shipped in 2006 _____ pounds
Site 2	Name of receiving facility: _____ EPA ID Number of receiving facility: _____	Off Site Management Method Code H _____	Total Quantity Shipped in 2006 _____ pounds

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Commonwealth of Kentucky
2006 Hazardous Waste Annual Report
FORM 3 – Waste Received From Off Site
 Equivalent to EPA Form WR

EPA ID Number _____

Site Name (Exactly as on Registration) _____

Waste 1	Waste Description (See instructions.) Check one: <input type="checkbox"/> Liquid <input type="checkbox"/> Solid (1) (General) _____ (2) (Manifest) _____		
EPA Hazardous Waste Code(s) _____ _____	State Hazardous Waste Code (if applicable) _____	Name of generator from which this waste was received _____ EPA ID Number of generator from which this waste was received _____	
Quantity Received in Report Year _____ pounds		Enter the value used to convert this waste to pounds (Leave blank if waste amount is already listed in pounds) _____	
Form Code W _____	Is this waste both hazardous and radioactive? <input type="checkbox"/> Yes <input type="checkbox"/> No		Management Method Code H _____

Waste 2	Waste Description (See instructions.) Check one: <input type="checkbox"/> Liquid <input type="checkbox"/> Solid (1) (General) _____ (2) (Manifest) _____		
EPA Hazardous Waste Code(s) _____ _____	State Hazardous Waste Code (if applicable) _____	Name of generator from which this waste was received _____ EPA ID Number of generator from which this waste was received _____	
Quantity Received in Report Year _____ pounds		Enter the value used to convert this waste to pounds (Leave blank if waste amount is already listed in pounds) _____	
Form Code W _____	Is this waste both hazardous and radioactive? <input type="checkbox"/> Yes <input type="checkbox"/> No		Management Method Code H _____

Waste 3	Waste Description (See instructions.) Check one: <input type="checkbox"/> Liquid <input type="checkbox"/> Solid (1) (General) _____ (2) (Manifest) _____		
EPA Hazardous Waste Code(s) _____ _____	State Hazardous Waste Code (if applicable) _____	Name of generator from which this waste was received _____ EPA ID Number of generator from which this waste was received _____	
Quantity Received in Report Year _____ pounds		Enter the value used to convert this waste to pounds (Leave blank if waste amount is already listed in pounds) _____	
Form Code W _____	Is this waste both hazardous and radioactive? <input type="checkbox"/> Yes <input type="checkbox"/> No		Management Method Code H _____

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Commonwealth of Kentucky
2006 Hazardous Waste Annual Report
FORM 4 – Waste Treatment, Disposal or Recycling System

EPA ID Number _____

Site Name (Exactly as on Registration) _____

System Description (See Instructions.) _____

**Management
Method Code**

H _____

Regulatory Status of System - Mark only one box.

- ___ 1. All units are permitted in accordance with 401 KAR Chapters 34 and 38, or have interim status in accordance with 401 KAR Chapter 35.
- ___ 2. No units are regulated or subject to hazardous waste permitting standards but the discharge is subject to KPDES permitting standards.
- ___ 3. No units are regulated or subject to hazardous waste permitting standards but discharge is subject to POTW approval.
- ___ 4. Subject to Underground Injection Control (UIC) permitting standards only.
- ___ 5. Subject to hazardous waste regulations and permitted-by-rule as a wastewater treatment or elementary neutralization unit; discharge is subject to KPDES permitting standards. (402 of the Clean Water Act)
- ___ 6. Subject to hazardous waste regulations and permitted-by-rule as a wastewater treatment or elementary neutralization unit; discharge is subject to POTW approval. (307b of the Clean Water Act)
- ___ 7. Subject to hazardous waste regulations and permitted-by-rule as a wastewater treatment or elementary neutralization unit; discharge is subject to Underground Injection Control (UIC) permitting standards.
- ___ 8. Exempt from all hazardous waste permitting requirements; however, registration may be required (i.e. distillation units).

Operational Status

Mark only one box.

- ___ 1. Operational
- ___ 2. Temporarily idle
- ___ 3. Permanently closed
- ___ 4. Under construction
- ___ 5. Planned but not yet under construction
- ___ 6. Other operational status.

Specify: _____

Unit Types

Mark all applicable boxes to identify every unit in this system.

- ___ 1. Tank
- ___ 2. Container
- ___ 3. Incinerator
- ___ 4. Industrial kiln, furnace or boiler
- ___ 5. Waste pile
- ___ 6. Underground injection well
- ___ 7. Landfill
- ___ 8. Land application/land treatment
- ___ 9. Surface impoundment
- ___ 10. Other – Specify: _____

**Quantity of Waste Entering
the System**

Total _____ pounds

Hazardous _____ pounds

Operational Capacity

Total _____ pounds

Hazardous _____ pounds

**Quantity of Liquid Effluent /
Quantity of Liquid Recycled**

Total _____ pounds

Hazardous _____ pounds

**Quantity of
Solid/Sludge Residual/
Waste**

Total _____ pounds

Hazardous _____ pounds

Limitations on Maximum Operational Capacity – Mark up to 3.

- ___ Operating Permit
- ___ Compliance with permitting standards
- ___ Other state or local regulatory limitations
- ___ Planned maintenance downtime
- ___ Number of shifts operated per year
- ___ Shared use of units or processes with other process systems
- ___ Other physical factors
- ___ Other limitations on capacity – Specify: _____
- ___ No limitation beyond engineering design

Commercial Capacity Availability

Mark only one box.

- ___ Available only for management of hazardous waste generated on site.
- ___ Available only to generators or facilities owned by the same company.
- ___ Available to limited group of generators or facilities for commercial hazardous waste management.
- ___ Available to any generator or facility for commercial hazardous waste management.

**Estimated
Percent
Commercially
Available**

_____ %

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Commonwealth of Kentucky
2006 Hazardous Waste Annual Report
FORM 5 – Summary of Waste Shipped Off Site

EPA ID Number _____

Site Name (Exactly as on Registration) _____

List all receiving facilities. <small>List each facility only once.</small>	Total Number of Manifested Shipments	Total Pounds Shipped for reporting year
EPA ID Number _____ Facility Name _____		
EPA ID Number _____ Facility Name _____		
EPA ID Number _____ Facility Name _____		
EPA ID Number _____ Facility Name _____		
EPA ID Number _____ Facility Name _____		
EPA ID Number _____ Facility Name _____		
Total		

List all primary transporters. <small>List each primary transporter only once. Do not list secondary transporters.</small>	Total Number of Manifested Shipments	Total Pounds Shipped for reporting year	Container Types
EPA ID Number _____ Transporter Name _____			
EPA ID Number _____ Transporter Name _____			
EPA ID Number _____ Transporter Name _____			
EPA ID Number _____ Transporter Name _____			
Total			

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Commonwealth of Kentucky
2006 Hazardous Waste Annual Report
FORM 6 – Summary of Waste Shipped To Your Site

EPA ID Number _____

Site Name (Exactly as on Registration) _____

List all generators that sent waste to your facility. <small>List each facility only once.</small>	Total Number of Manifested Shipments	Total Pounds Shipped for reporting year	
EPA ID Number _____ Generator Name _____			
EPA ID Number _____ Generator Name _____			
EPA ID Number _____ Generator Name _____			
EPA ID Number _____ Generator Name _____			
EPA ID Number _____ Generator Name _____			
EPA ID Number _____ Generator Name _____			
Total			
List all primary transporters. <small>List each primary transporter only once. Do not list secondary transporters.</small>	Total Number of Manifested Shipments	Total Pounds Shipped for reporting year	Container Types
EPA ID Number _____ Transporter Name _____			
EPA ID Number _____ Transporter Name _____			
EPA ID Number _____ Transporter Name _____			
EPA ID Number _____ Transporter Name _____			
Total			

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INSTRUCTIONS FOR THE ASSESSMENT RETURN

KRS 224.46-580, Section 1(7) is amended to read, in part, as it pertains to the Hazardous Waste Assessment that “The hazardous waste assessment shall be waived for any generator owing less than fifty dollars (\$50) for the year.” However, a return must be filed by generators to whom a payment waiver applies. You still must submit the DEP-7070 (Revised 2006) even if zero dollars are owed.

Who must submit an Assessment Return DEP 7070 (Revised 2006)?

- € Any site registered as either a Large or a Small Quantity Generator for any part of the year 2006 or any site that treated, stored, or disposed of hazardous wastes on site during 2006. **Even if no hazardous waste was generated in the year, fill out DEP 7070 and submit it.**

How do I claim Exclusion from the Hazardous Waste Assessment?

- € Any site seeking exclusion from the Assessment as described in KRS 224.46-580. For example: “special wastes,” waste oil, spent material from air pollution control devices controlling emissions at coke manufacturing facilities, waste generated by others for which the company is a secondary handler, waste regulated under Section 402 or 307b of the Clean Water Act, recycled wastes used in steel manufacturing, nonhazardous wastes, out-of-state waste received by a manufacturing company from an affiliated company, and emission control dust and sludge from the primary production of steel that is recycled by high temperature metals recovery or managed by stabilization of metals.

Sites may file multiple Claims for Exclusion forms; however, each claim form may cover only one exclusion category. Line 6 on the Assessment Return should reflect the total of all Claims for Exclusion forms submitted.

Note: The Claim for Exclusion form must be completed each year to obtain an exemption from payment for any hazardous waste generated during the assessment period.

When is the Assessment Period?

The assessment period is from January 1 through December 31, 2006.

What is the authority for the Assessment Program?

KRS 224.46-580. The Assessment is due January 1st. Kentucky offers a grace period until March 1st. The Assessment Return must be **received at the Branch by March 1st**. If it is received at the Branch after March 1st, the penalties and interest must be computed from January 1 to the receipt date. See page 18 for calculating these penalties. **If the assessment owed is less than \$50.00, no check is necessary**

What hazardous waste is counted on the Assessment Return?

- € Count each hazardous waste **generated** (not necessarily shipped) by your company during the assessment period. Industrial wastewaters treated immediately on site in a pre-treatment program under the Clean Water Act (Section 402 of the Clean Water Act - KPDES), are not counted as waste [401 KAR 31:010, Section 4(1)(b)]; however, a Form 2 and a Claim for Exclusion Form is needed to exclude this waste from assessment. If zero pounds of hazardous waste were generated, mark 0 (zero) on Line # 11 and submit the Assessment return.
- € Count each hazardous waste **received from out-of-state** during the assessment period.

The Annual Report and Assessment Return are compared during the review process. All hazardous wastes generated, or received from off-site, during the report year and reported on the Annual Report must be reflected on the Assessment Return and/or Claim for Exclusion(s).

What if my company did not generate any hazardous waste during the assessment period?

Both the Annual Report and the Assessment Return must still be submitted.

*Complete Line 1 on the Hazardous Waste Assessment Return; report that \$0 is due in Line 11.

*Complete Form 1 of the Hazardous Waste Annual Report and provide an explanation.

Where can I get help?

Call Edith Greer (502) 564-6716 x 352 or Maria Wood (502) 564-6716, x 237, or email them at: Edith.Greer@ky.gov or Maria.Wood@ky.gov.

Where do I mail these reports?

Mail the original signed Assessment Return, signed Annual Report, and signed check to:

Kentucky Division of Waste Management

Hazardous Waste Branch

14 Reilly Road

Frankfort KY 40601

Line Item Instructions for the Assessment Return

Assessment fees are based on the amount of waste **generated or received from out-of-state in 2006**, not those wastes shipped, because a facility may ship wastes from the previous year, or not ship the current year's wastes until a barrel is full, etc. All waste must be reported in pounds.

Use these definitions and the manifest to determine the physical state of the waste:

Solid – Any waste that does not fail the Paint Filter Test* upon generation.

Liquid – Any waste that fails the Paint Filter Test* upon generation.

- Line 1** Provide information that corresponds exactly with your Certificate of Registration for Hazardous Waste Management Activity. Include your Agency Interest Number.
- Line 2** Report the total amount of solid hazardous waste **generated in 2006** and sent off-site, including waste that is going to be sent off-site. Also include all solid hazardous waste received from out-of-state.
- Line 3** Report the total amount of solid hazardous waste **generated in 2006** and treated, recycled, or disposed of on-site. Solid hazardous waste received from out-of-state must be included on Line 2.
- Line 4** Report the total amount of liquid hazardous waste **generated in 2006** and sent off-site, including waste that is going to be sent off-site. Also include all liquid hazardous waste received from out-of-state.
- Line 5** Report the total amount of liquid hazardous waste **generated in 2006** and treated, recycled, or disposed of on-site. Liquid hazardous waste received from out-of-state must be included on Line 4.
- Line 6** Report the total waste from the Claim for Exclusion form(s).

**Late Fees and Penalties for
Assessment Returns Received at the Branch after March 1, 2007**

- Line 8** Interest is calculated at 8% per annum from the statutory due date of January 1 to the **Branch receipt date stamp****. This amount can be determined using the formula below: Subtotal x 0.08 divided by 365 X # of days late = interest. Report this amount on Line 8.
- Line 9** Penalties are calculated at 5% of the Subtotal for each 30-day (or fraction thereof) period the return is late, not to exceed 25%. **Penalties are calculated from the statutory due date of January 1 to the Branch receipt date stamp****. For example, if the receipt date is March 2nd, the subtotal must be multiplied by 15% to calculate the penalty.

Line 10 If your company underpaid/overpaid last year, an adjustment will appear on this line. The amount of an underpayment or overpayment will be included in your approval letter. A notice will be included with your Assessment Return approval letter if an adjustment is to be made.

*Method 9095A in SW-846 Test Methods for Solid Waste, Physical/Chemical Methods as specified in 401 KAR 30:010, Section 3.

****TEMPO will scan the Branch receipt date stamp and compute penalties and interest based on any Assessment Return received after March 1st.**

Line 11 Write a check for the amount due in Column C to the: **Kentucky State Treasurer**. Include this check with the Assessment Return. Identify the facility with the **Agency Interest Number** on the check's reference line. Send the signed check and the signed reports to:

Division of Waste Management
Hazardous Waste Branch
14 Reilly Rd.
Frankfort KY 40601

Extensions cannot be granted for the Hazardous Waste Assessment Return.

Reports must be received at the Branch by March 1, 2007 or TEMPO automatically calculates late fees.

The Hazardous Waste Assessment Return must include an original signature.

ATTENTION: All Treatment, Storage and Disposal Facilities

In addition to the Assessment Return, the following schedules must be submitted:

- € **Schedule 1** – For solid hazardous waste. Report each out-of-state generator by EPA ID number, company name, and amount of waste your facility received. This can be found on Form 3.
- € **Schedule 2** - For liquid hazardous waste. Report each out-of-state generator by EPA ID number, company name, and amount of waste your facility received. This can be found on Form 3.
- € **Schedule 3** – For hazardous waste generated by your facility. On separate lines, provide a summary of:
 - € liquid hazardous waste generated by your facility and sent off-site
 - € liquid hazardous waste generated by your facility and treated, recycled or disposed on-site
 - € solid hazardous waste generated by your facility and sent off-site, and
 - € solid hazardous waste generated by your facility and treated, recycled or disposed on-site

Provide a total on all schedules. Report amounts in pounds.

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For official use only:

Amt. \$ _____ Receipt # _____ Receipt Date _____ Initials _____

****SEND NO CHECK IF TOTAL AMOUNT DUE IS LESS THAN \$50.00****

Commonwealth of Kentucky
HAZARDOUS WASTE ASSESSMENT RETURN
From January - December, 2006

Return **BEFORE March 1, 2007**. Late fees apply to Assessments date stamped by the Branch after March 1, 2007.
Make checks payable to the **KENTUCKY STATE TREASURER**.

1. EPA ID Number: KY_ _____		County: _____	Agency Interest Number (AI#): _____
Site Name: _____			
Contact Person: _____		Phone No: _____	Extension: _____
Mailing Address: _____			
City, State and Zip: _____			
ASSESSMENT CATEGORIES List waste generated and/or Received from out-of-state from January 1- December 31, 2006	Column A QUANTITY List quantity in pounds.	Column B RATE	Column C AMOUNT DUE Multiply Column A x B
2. Solid hazardous waste generated and treated, recycled, or disposed of off site		\$0.002	\$
3. Solid hazardous waste generated and treated, recycled, or disposed of on site		\$0.001	\$
4. Liquid hazardous waste generated and treated, recycled, or disposed of off site		\$0.012	\$
5. Liquid hazardous waste generated and treated, recycled, or disposed of on site		\$0.006	\$
6. Waste excluded from all Exclusions Forms			
7. SUBTOTAL			\$
8. Interest on late submittals calculated from January 1 to Branch receipt date stamp			\$
9. Penalties on late submittals calculated From Jan. 1 to Branch receipt date stamp			\$
10. Adjustments from over payments And under payments			\$
11. TOTAL AMOUNT DUE (ASSESSMENT WAIVED IF UNDER \$50.00)			\$

CERTIFICATION: I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Original Signature _____

Name and Title _____

Date _____

DEP-7070 (Rev. 2006)

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INSTRUCTIONS FOR THE CLAIM FOR EXCLUSION FORM

KRS 224.46-580 provides several exclusions from the hazardous waste assessment. To claim that a specific hazardous waste is excluded from the assessment, you must submit the **Claim for Exclusion from the Hazardous Waste Assessment Form with the Assessment Return before March 1, 2007**. This form must be completed every year a claim for exclusion is made.

Line 1 Complete these lines. This information will correspond with that provided on your Assessment Return and Certificate for Registration.

Line 2 Check one box. Use a separate form for each waste stream for which exclusion to the Assessment is sought. A brief description of each category is listed below.

Waste Oil – Used oil is not regulated as a hazardous waste when managed in accordance with 401 KAR Chapter 44.

Special Wastes – KRS 224.50-760 defines the following as special wastes: mining wastes; utility wastes which meet the definition of fly ash, bottom ash or scrubber sludge; sludge from wastewater treatment facilities; cement kiln dust; gas and oil drilling muds; and oil production brines. No other wastes have been designated by the Environmental and Public Protection Cabinet as special wastes.

Spent material from air pollution control devices at coke manufacturing facilities – This exclusion is limited to a coke manufacturing facility's air pollution control equipment wastes.

Waste generated by others for which the company is a secondary handler – Companies that store, process or reclaim hazardous waste generated in Kentucky by another company are not required to pay the assessment. Hazardous waste generated outside of Kentucky is subject to the assessment and facilities must collect and submit the assessment due from out-of-state generators. In addition, hazardous waste generated by the secondary handler as the result of storage, processing or reclamation is subject to the assessment.

Waste regulated under Section 402 or 307b of the Clean Water Act –

- € Section 402 of the Clean Water Act refers to the Kentucky Pollutant Discharge Elimination System (KPDES) program. This exclusion applies to any waste that flows through a treatment plant holding a valid KPDES permit. For exclusion to the assessment, complete a Claim for Exclusion for this waste.
- € Section 307b of the Clean Water Act applies to any waste that is sent to a pretreatment system and discharged to a publicly owned sewer treatment works (POTW). The wastewater is not reported as a waste, therefore, there is no Claim for Exclusion Form needed. The sludge, "special waste" is reported as waste on a Form 2; for exclusion to the assessment, complete a Claim for Exclusion.

Recycled wastes used in steel manufacturing

Out-of-state waste received by a manufacturing company from an affiliated company – According to the Under Investment Company Act (15 U.S.C.A. Section 80A-2), a company in which there is ownership (direct or indirect) of 5 percent or more of the voting stock is considered an affiliated company.

Emission control dust and sludge from the primary production of steel that is recycled by high temperature metals recovery or managed by stabilization of metals

Line 3 Describe the generation process for the waste being excluded. **NOTE: A description must be provided or the claim will be denied.**

Line 4 Complete each section. Definitions for liquid and solid waste are provided on page 18. See page 4 for an explanation of EPA hazardous waste codes.

*****The Claim for Exclusion form must contain an original signature*****

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Commonwealth of Kentucky
CLAIM FOR EXCLUSION FROM THE HAZARDOUS WASTE ASSESSMENT
 From January – December 2006

Return to Hazardous Waste Branch, 14 Reilly Road, Frankfort KY 40601 BEFORE **March 1, 2007** with the Hazardous Waste Assessment Return and Annual Report.

1. EPA ID Number: KY	County:	AI#:
Site Name:		
Contact Person:	Phone No:	Extension:
Mailing Address:		
City, State and Zip:		

2. Identify the specific exclusion described under KRS 224.46-580 (7) and (8) for which approval is sought. Mark only one box.

- ☐ Waste Oil
- ☐ Special Waste
- ☐ Spent material from air pollution control emissions at coke manufacturing facilities
- ☐ Secondary handler receiving waste from Kentucky generators
- ☐ Waste subject to Section 402 of the Clean Water Act sent to a KPDES outfall
- ☐ Recycled waste used in the steel manufacturing process
- ☐ Out-of-state waste received by a manufacturing company from an affiliated company
- ☐ Emission control dust and sludge from the primary production of steel that is recycled by high temperature metals recovery or managed by stabilization of metals

3. Provide a brief description of the process that generates the hazardous waste for which you are seeking exclusion from assessment or the Claim will be denied. **(Mandatory - Attach additional sheets if necessary.)**

4. Complete this chart for the hazardous waste you are seeking an exclusion.

EPA Waste Codes	Amount of Waste Generated During the Assessment Period Report in pounds.	Solid or Liquid	Was this waste ultimately sent off site for treatment storage or disposal? (Yes or No?)

CERTIFICATION: I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Original Signature

Name and Title

Date

DEP-7084 (Rev. 2006)

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SOURCE CODES

Source codes describe the type of process or activity (i.e., source) from which a hazardous waste was generated.

Code	Source Code Group	Corresponding Codes from 2000 Hazardous Waste Report*
Wastes from Ongoing Production and Service Processes		
G01	Dip, flush or spray rinsing	A04, A05, A06, A31
G02	Stripping and acid or caustic cleaning	A01, A02, A03
G03	Plating and phosphating	A22, A23, A24
G04	Etching	A27
G05	Metal forming and treatment (pickling, heat treating, etc.)	A25, A26, A40
G06	Painting and coating	A21, A29
G07	Product and by-product processing	A32, A35, A41, A49
G08	Removal of spent process liquids or catalysts	A36, A37
G09	Other production or service-related processes (specify)	A49, A29, A07, A08, A19
Other Intermittent Events or Processes		
G11	Discarding off-specification or out-of-date chemicals or products	A57, A58
G12	Lagoon or sediment dragout and leachate collection	New
G13	Cleaning out process equipment	A09
G14	Removal of tank sludge, sediments or slag	A38, A39, A60
G15	Process equipment change-out or discontinuation of equipment use	A56
G16	Oil changes and filter or battery replacement	A54, A55
G19	Other one-time or intermittent processes (specify)	A59, A60, A91
Pollution Control and Waste Management Process Residuals		
G21	Air pollution control devices (baghouse dust, etc.)	A78
G22	Laboratory analytical wastes (used chemicals)	A94
G23	Wastewater treatment (sludge, filter cake, etc.)	A75
G24	Solvent or product distillation or recovery (sludge, waste)	A33, A34, A73
G25	Hazardous waste management - indicate management method	A71-A74, A76, A77, A89
G26	Storage and disposal unit leachate collection	A79
Spills and Accidental Releases		
G31	Accidental contamination of products, materials or containers	NEW
G32	Cleanup of spill residues	A53
G33	Leak collection and floor sweeping	A51, A92
G39	Other cleanup of current contamination (specify)	NEW
Remediation of Past Contamination		
G41	Closure of hazardous waste management unit under KY Hazardous Waste Program	A64
G42	Corrective action at a solid waste management unit under KY Hazardous Waste Program	A63
G43	Remedial action or emergency response under KRS 224.01-400 (state "Superfund")	A61, A62
G44	State program or voluntary cleanup	A93, New
G45	Underground storage tank cleanup	A65
G49	Other remediation (specify)	A69
Waste Not Physically Generated On Site		
G61	Hazardous waste received from off site for storage/bulking and transfer off site for treatment or disposal	A89, NEW (Origin = 4)
G62	Hazardous waste received from a foreign country (other than a foreign Department of Defense site, Maquiladora, US territory or protectorate). This site was the generator of record.	NEW

* For clarification only. Use the Source codes in the left column of the table only (i.e., codes beginning G__).

FORM CODES

Form codes describe the general physical and chemical characteristics of a hazardous waste.

Code	Form Code Group	Corresponding Codes from 2000 Hazardous Waste Report*
Mixed Media/Debris/Devices - Waste that is a mixture of organic and inorganic wastes, liquid and solid wastes, or devices that are not easily categorized		
W001	Lab packs with no acute hazardous waste	B001, B003, B009
W002	Contaminated debris: paper, clothing, rags, wood, empty fiber or plastic containers, glass, piping, other solids	B002, B406, NEW
W004	Lab packs containing acute hazardous waste	B004
W301	Contaminated soil	B301, B302,
W309	Batteries, battery parts, cores, casings	B309
W310	Filters, solid adsorbents, ion exchange resins and spent carbon	B310, B404
W320	Electrical devices (lamps, thermostats, CRTs, etc.)	NEW
W512	Sediment or lagoon dragout, drilling or other muds	B512, B513, B514
W801	Compressed gases	B701, B801
Inorganic Liquids - Waste that is primarily inorganic and highly fluid (e.g., aqueous), with low suspended inorganic solids and low organic content		
W101	Very dilute aqueous waste containing more than 99% water	B101, B102, B114, B116
W103	Spent concentrated acid	B103, B104
W105	Acidic aqueous wastes less than 5% acid	B105
W107	Aqueous waste containing cyanides	B107, B108
W110	Caustic aqueous waste without cyanides	B106, B109, B110
W113	Other aqueous waste or wastewaters	B111, B112, B113, B115
W117	Waste liquid mercury	B117
W119	Other inorganic liquid (specify)	B119
Organic Liquids - Waste that is primarily organic and is highly fluid, with low inorganic solids content and low-to-moderate water content		
W200	Still bottoms in liquid form	B601, B602, NEW
W202	Concentrated halogenated (e.g., chlorinated) solvent	B202
W203	Concentrated non-halogenated (e.g., non-chlorinated) solvent	B203
W204	Concentrated halogenated/ non-halogenated solvent mixture	B204, B201
W205	Oil-water emulsion or mixture	B205
W206	Waste oil	B206
W209	Paint, ink, lacquer, or varnish	B209
W210	Reactive or polymerizable organic liquids and adhesives	B210, B212
W211	Paint thinner or petroleum distillates	B211
W219	Other organic liquid (specify)	B207, B208, B219

FORM CODES (Continued)

	Inorganic Solids - Waste that is primarily inorganic and solid, with low organic content and low-to-moderate water content; not pumpable	
W303	Ash	B303
W304	Slags, drosses, and other solid thermal residues	B303, B304
W307	Metal scale, filings and scrap (including metal drums)	B307, B308
W312	Cyanide or metal cyanide bearing solids, salts or chemicals	B312, B313
W316	Metal salts or chemicals not containing cyanides	B316,
W319	Other inorganic solids (specify)	B311, B319, B314, B315
	Organic Solids - Waste that is primarily organic and solid, with low-to-moderate inorganic content and water content; not pumpable	
W401	Pesticide solids	B401, B402
W403	Solid resins, plastics or polymerized organics	B403
W405	Explosives or reactive organic solids	B405
W409	Other organic solids (specify)	B407, B409
	Inorganic Sludges - Waste that is primarily inorganic, with moderate-to-high water content and low organic content; mostly pumpable	
W501	Lime and/or metal hydroxide sludges and solids with no cyanides	B501, B502, B305, B306
W503	Gypsum sludges from wastewater treatment or air pollution control	B503
W504	Other sludges from wastewater treatment or air pollution control	B504, B511
W505	Metal bearing sludges (including plating sludge) not containing cyanides	B505, B510
W506	Cyanide-bearing sludges	B506, B507
W519	Other inorganic sludges (specify)	B508, B509, B515, B516, B519, B607
	Organic Sludges - Waste that is primarily organic with low-to-moderate inorganic solids content and water content; pumpable	
W603	Oily sludge	B603
W604	Paint or ink sludges, still bottoms in sludge form	B601, B602, B604
W606	Resins, tars, polymer or tarry sludge	B605, B606
W609	Other organic sludge (specify)	B608, B609

* For clarification only. Use the Form codes in the left column of the table only (i.e., codes beginning W___).

Conversion Rates

All quantities on the Hazardous Waste Annual Report and the Assessment Return must be reported in pounds. Use these conversion rates if you don't know the weight of your waste.

For example:

To convert 25 gallons to pounds, multiply 25 (gallons) by 8.34 (the weight of water) = 208.5 pounds.

To convert 50 tons into pounds, multiply 50 (tons) by 2000 (pounds) = 100,000 pounds.

	Conversion Rates Chart	
Unit	Physical State	Multiplier to Pounds
Gallons	Liquid	8.34
	Sludge/Solid	11.09
Cubic Yards	Liquid	1684.8
	Sludge/Solid	2240
Liters	Liquid	2.203
Kilogram	Liquid/Sludge/Solid	2.204
Pounds	Liquid/Sludge/Solid	1
Tons	Liquid/Sludge/Solid	2000

MANAGEMENT METHOD CODES

Management Method codes describe the type of hazardous waste management system used to treat or dispose a hazardous waste.

Code	Management Method Code Group	Corresponding Codes from 2000 Hazardous Waste Report*
Reclamation and Recovery		
H010	Metals recovery including retorting, smelting, chemical, etc.	M011-M019, T56
H020	Solvents recovery	M021-M029, M104
H039	Other recovery or reclamation for reuse including acid regeneration, organics recovery, etc. (specify)	M031-M039
H050	Energy recovery at this site - use as fuel (includes on-site fuel blending)	M051-M059
H061	Fuel blending prior to energy recovery at another site	M061
Destruction or Treatment Prior to Disposal at Another Site		
H040	Incineration - thermal destruction other than use as a fuel	M041-49
H071	Chemical reduction with or without precipitation	M071
H073	Cyanide destruction with or without precipitation	M073
H075	Chemical oxidation	M075
H076	Wet air oxidation	M076, M084, M093
H077	Other chemical precipitation with or without pre-treatment	M072, M074, M077
H081	Biological treatment with or without precipitation	M081, M091
H082	Adsorption	M082, M092, M103
H083	Air or steam stripping	M083
H101	Sludge treatment and/or dewatering	M101, M102, M109
H103	Absorption	M103
H111	Stabilization or chemical fixation prior to disposal at another site	M111
H112	Macro-encapsulation prior to disposal at another site	M112, NEW
H121	Neutralization only	M121
H122	Evaporation	M122
H123	Settling or clarification	M123
H124	Phase separation	M124
H129	Other treatment (specify)	M078, M079, M085, M089, M094, M089, M099, M119, M125, M129
Disposal		
H131	Land treatment or application (to include on-site treatment and/or stabilization)	M131
H132	Landfill or surface impoundment that will be closed as landfill (to include on-site treatment and/or stabilization)	M132, M133
H134	Deepwell or underground injection (with or without treatment)	M134
H135	Discharge to sewer/POTW or NPDES (with prior storage - with or without treatment)	M135, M136
Storage and Transfer		
H141	Storage, bulking, and/or transfer off site - no treatment/recovery (H010-H129), fuel blending (H061), or disposal (H131-H135) at this site	M141

* For clarification only. Use the Management Method codes in the left column only (i.e., codes beginning H___).

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P.O. Box 672
Cadiz, KY 42211
Phone: (270) 522-8459

Trimble Co.

The Honorable Randy K. Stevens
Trimble Co. Judge/Executive
Trimble Co. Courthouse
P.O. Box 251
Bedford, KY 40006
Phone: (502) 255-7196

Union Co.

The Honorable Frank J. Eiter
Union Co. Judge/Executive
Union Co. Courthouse
P.O. Box 60
Morganfield, KY 42347
Phone: (270) 389-1081

Warren Co.

The Honorable Michael Buchanan
Warren Co. Judge/Executive
Warren Co. Courthouse
429 E. 10 Street
Bowling Green, KY 42101
Phone: (270) 843-4146

Washington Co.

The Honorable John A. Settles
Washington Co. Judge/Executive
Washington Co. Courthouse
P.O. Box 126
Springfield, KY 40069
Phone: (859) 336-5410

Wayne Co.

The Honorable Bruce Ramsey
Wayne Co. Judge/Executive
Wayne Co. Courthouse
P.O. Box 439
Monticello, KY 42633
Phone: (606) 348-4241

Webster Co.

The Honorable Jim Townsend
Webster Co. Judge/Executive
Webster Co. Courthouse
P.O. Box 155
Dixon, KY 42409
Phone: (270) 639-5042

Whitley Co.

The Honorable Burley J. Foley
Whitley Co. Judge/Executive
Whitley Co. Courthouse
P.O. Box 237
Williamsburg, KY 40769
Phone: (606) 549-6004

Wolfe Co.

The Honorable Raymond Hurst
Wolfe Co. Judge/Executive
Wolfe Co. Courthouse
P.O. Box 429
Campton, KY 41301
Phone: (606) 668-3040

Woodford Co.

The Honorable Joe D. Gormley
Woodford Co. Judge/Executive
Woodford Co. Courthouse
103 S. Main Street
Versailles, KY 40383
Phone: (859) 873-4139



Just a reminder ... a portion of the Hazardous Waste Assessment goes to fund **FREE** *confidential* help for generators. **Contact:**

Cam Metcalf, Executive Director
Kentucky Pollution Prevention Center
420 Lutz Hall
University of Louisville
Louisville, Kentucky 40292
(502) 852-0965
www.kppc.org

Hazardous Waste Annual Report/Assessment Program
Division of Waste Management
Hazardous Waste Branch
14 Reilly Rd
Frankfort KY 40601

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